

PUBLIC SUBMISSION

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Docket: EBSA-2010-0050

Definition of the Term ‘Fiduciary’; Conflict of Interest Rule—Retirement Investment Advice; Notice of proposed rulemaking and withdrawal of previous proposed rule.

Comment On: EBSA-2010-0050-0204

Definition of the Term Fiduciary; Conflict of Interest Rule- Retirement Investment Advice

Document: EBSA-2010-0050-DRAFT-8008

Comment on FR Doc # 2015-08831

Submitter Information

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General Comment

I oppose the exclusion of option puts and calls in this regulation as an allowable investment vehicle. By nature, the puts and calls in a retirement must be "covered" by the underlying stock, so any "losses" would strictly be on the option price not the shares. This regulation would eliminate an added investment vehicle to enhance appreciation on the investment account. I regularly use this vehicle on "safe stocks" to add value.